Formation of High-Aspect-Ratio Helical Nanorods via Chiral Self-Assembly of Fullerodendrimers

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ABSTRACT: Two novel, asymmetric methanofullerenes are presented, which self-assemble in cyclohexane upon thermal cycling to 80 °C. We show that, through the introduction of a dipeptide sequence to one terminus of the dendritic methanofullerene, it is possible to transform the assembly behavior of these molecules from poorly formed aggregates to high-aspect-ratio nanorods. These nanorods have diameters of $3.76 \pm 0.52$ nm and appear to be composed of interwoven helices of dendritic fullerenes. As evidenced by circular dichroism, the helicity is characterized by a preferential handedness of assembly, which is imparted by the dipeptide moiety.

SECTION: Glasses, Colloids, Polymers, and Soft Matter

The ability to form well-defined fullerene nanostructures via self-assembly is desirable for applications in polymer photovoltaics and organic electronics.1–4 Fullerenes are excellent electron acceptors,5 and in organic photovoltaics, they have remained the electron acceptor of choice since their usage in the first bulk heterojunction photovoltaic in the mid-1990s.6 The need to control the assembly and morphology of fullerenes is featured prominently in the organic electronic literature. For example, high photoconversion efficiency in organic photovoltaics is dependent upon fullerene dispersion within the active layer.7,8 In addition, structured arrays of C60 fullerenes have been shown to possess highly delocalized electronic states,9,10 and superconducting properties have been observed in intercalated C60 networks.11–13 Hence, for such applications, it is highly desirable to be able to control the assembly and patterning of fullerene molecules.

Only a few instances of nanometer-scale, high-aspect-ratio fullerene assemblies have been reported, and these have relied on surface-based assembly methods,14–17 including Langmuir–Blodgett techniques,14 or HOPG-driven self-assembly,15,16 thereby limiting their scalability. In contrast, the assembly of fullerenes on larger length scales has been accomplished through a number of methods,1 including liquid–liquid interfacial precipitation (LLIP), template-assisted drying, and drop drying. In addition to these, functionalized fullerenes have been shown to form a variety of bilayer structures, depending upon solvent conditions and chemical derivatization.18 However, the dimensions of these assemblies (typically on the order of several hundred nanometers to a few micrometers) are too large for many organic electronics applications. In addition to approaches based on self-assembly, a variety of fullerene polymers have been synthesized.19 However, in these cases, the physical constraints of the polymer backbone may impose limits on the extent of interfullerene interaction.

In biologically inspired self-assembly, di- and tripeptide conjugates have been shown to act as low-molecular-weight hydrogelators,20 and the ability of polypeptides to form self-assembled nanostructures has been extensively studied.21 Recently, it was demonstrated that dendritic dipeptides could self-assemble into cylindrical nanopores and enable the transport of protons through liposomes.22 Building on these studies, we sought to determine the influence of dipeptide incorporation on the assembly of dendritic methanofullerenes.

We report the synthesis of a novel pair of fullerodendrimers (Figure 1), which self-assemble into one-dimensional fibers in cyclohexane. We find that high-aspect-ratio fullerene nanorods, with diameters of $3.76 \pm 0.52$ nm, can be formed by utilizing peptide-driven self-assembly. These structures appear to consist of interwoven, helical assemblies of peptidic methanofullerenes.

In order to construct these molecules, second-generation benzyl dendrons of the form $[4−3,4−3,5]12G2CH2CN$ were generally synthesized according to the scheme of Percec et al.,23 with the only exception being the use of 3,5-dihydroxybenzonitrile in the formation of the second-generation apex, thereby...
allowing for the introduction of an amine functionality at this terminus. Treatment of the cyano adduct with LiAlH₄ gave the primary amine, [4−3,4−3,5]12G₂CH₂NH₂. Reaction of the aminated second-generation dendron with mono-tert-butyl malonate via DCC/DMAP coupling yielded the dendritic precursor to I, which was then conjugated to C₆₀ fullerene by Bingel addition. For the formation of II, the aminated second-generation dendron was coupled to methyl-3-chloro-3-oxopropionate, after which the methyl ester was cleaved under basic conditions, thereby allowing for the conjugation of the dipeptide sequence, Boc-L-Ser-L-Ala-OMe. This intermediate was coupled to C₆₀ using Bingel conditions to yield fullerodendrimer II.

While fullerodendrimers I and II are structurally similar, they display substantially different assembly behavior in the solution phase. This was first evidenced by their disparate behavior in variable-temperature absorbance measurements. Upon heating, both molecules could be dissolved in cyclohexane to yield homogeneous light-yellow to light-red solutions at 75−80 °C. Figure 2 shows temperature-dependent absorbance results for the two fullerodendrimers. Upon cooling, the fullerene absorbance feature at 259 nm undergoes a decrease, while there is an increase in a red-shifted absorbance feature at approximately 267 nm. The emergent feature is broader in the case of fullerodendrimer I, likely indicating a greater degree of inhomogeneity in the structure of the formed assemblies, which is in agreement with morphological results, discussed below. In addition, the assembly transition of the two molecules occurs at different temperatures for the two species (Figure 2c), with an onset of assembly at around 50 °C for the dipeptide derivative and an assembly onset of approximately 30 °C for the tert-butyl derivative. These differences in temperature-dependent assembly can be qualitatively observed during the simultaneous cooling of solutions of fullerodendrimers I and II from an isotropic state, during which the dipeptide derivative transitions to a deep-yellow color earlier in the cooling process (Figure S1, Supporting Information). In addition, upon sitting for 24 h, fullerodendrimer II remains dispersed, while its peptide-free analogue sediments out of solution (Figure S1, Supporting Information). Together, these results indicate that there are differences in the assembled structures formed by these two molecules and that the dipeptide moiety plays an integral role in the assembly of fullerodendrimer II.

We hypothesize that the first step in the assembly process involves a wrapping of the fullerene moiety by the benzyl ether dendron, resulting in an initial, gradual increase in the absorbance feature at 259 nm. In the absence of the dipeptide, these complexes are stable to approximately 30 °C, at which point nonspecific aggregation occurs. In the presence of the dipeptide, the onset of intermolecular hydrogen bonding drives the assembly of the fullerodendrimer at a much higher temperature. Solution-phase assembly is further supported by variable-temperature ¹H NMR experiments, which show a broadening and decrease in proton signal intensities upon cooling, until the only observable signal is that attributable to the terminal, aliphatic chains of the dendron (Supporting Information).

Differences in assembly behavior were further observed through morphological analysis of the suspensions. Figure 3a and d show SEM images of drop-dried solutions of the tert-butyl and dipeptide derivatives, respectively. Here, it is evident that the dipeptide derivative forms high-aspect-ratio fibers, which...
pack into densely matted regions in the solid state. In contrast, the t-butyl derivative shows a less-ordered assembly behavior, which prohibits the formation of dense regions. These results are confirmed by AFM. Here, it is observed that the dipeptide derivative forms self-assembled wires with diameters of $3.76 \pm 0.52$ nm and lengths that can exceed $10 \mu$m. In contrast, the t-butyl derivative forms poorly assembled fibers, which further aggregate into larger assemblies.

Interestingly, the morphology of the peptidic nanowires changes over time. Upon standing for several weeks, AFM reveals that the initial, straight fullerene wires transform into a combination of straight wires and spiral assemblies, with clear junctions between the two types of structures. AFM phase and height images of these helical assemblies are presented in Figure 4. As can be seen from the height traces in Figure 4b, the peaks of these helices occur at heights close to $3.5$ nm, which is consistent with the diameter distribution of the initial, straight fullerene wires. In contrast, the valleys along the helix possess heights of approximately half of this value. These results seem to indicate that the initially formed fullerene wires consist of multiple interwoven strands of fullerodendrimer II, which can unravel over time. Such a structure is depicted in Figure 4c. This is further supported by the observation of helical striations in AFM phase images of intact fullerene nanorods (Figure 5). From these images, the helical pitch of these assemblies appears to be approximately $40 \pm 4$ nm.

We attempted to obtain periodicity information by performing grazing-incidence small-angle X-ray scattering (GI-SAXS) measurements on drop-dried films of fullerodendrimers I and II. However, film-to-film variations in scattering results seemed to indicate that the observed scattering features were primarily

![Figure 3](image_url)

Figure 3. SEM (a,d) and AFM (b,c,e,f) images of self-assembled morphology. (d) SEM of a drop-cast solution of II shows the formation of densely matted regions of aligned fibers, while (a) SEM of a drop-cast solution of I shows randomly oriented domains. (e,f) AFM on spin-cast assemblies of II show structurally homogeneous fibers with average diameters of $3.76 \pm 0.52$ nm and lengths of a few hundred nanometers to $>10 \mu$m. (b,c) AFM on spin-cast assemblies of I show the formation of aggregated fibers, rather than well-formed nanorods.

![Figure 4](image_url)

Figure 4. AFM (a) phase image and (b) height profiles obtained from unraveled helical assemblies of fullerodendrimer II. The inset of (b) shows a height image of an isolated, helical fiber, along with the positions at which height traces were evaluated. In these unraveled fibers, peak values near $3.4$ nm are in close agreement with the diameter of the full nanorods, while valley heights ($\sim 1.8$ nm) are approximately one-half of the diameter of the full fibers. (c) Hypothesized schematic of the assembly. The full nanorods (right) appear to be composed of multiple interwoven helical fibers. A single, helical fiber is shown on the left. The axial wrapping periodicity of the full nanorods is $40 \pm 4$ nm (further discussed below).

![Figure 5](image_url)

Figure 5. AFM phase images of assembled fullerodendrimer II showing helical striations along the length of the assembled nanorods. (b) Zoomed-in phase image of one of these assemblies.
attributable to the morphology of the films, rather than the nanorod periodicity of assembly (Figures S5 and S6, Supporting Information).

The direct observation of helicity in these structures by AFM led us to question whether a racemic mixture of left- and right-handed assemblies is formed or if the chirality of the peptide drives the selective formation of a particular supramolecular structure. To examine this, we conducted circular dichroism (CD) measurements on the two fullerodendrimers. The results of CD measurements are shown in Figure 6. In Figure 6a, we show room-temperature CD spectra for both fullerodendrimers I and II. From these spectra, two properties of the assembly can be deduced. The first is that the self-assembly of fullerodendrimer II favors a particular handedness of helical arrangement. In combination with AFM results, it appears that left-handed helices are selectively formed in this system. In addition, the lack of CD features in the case of fullerodendrimer I indicates that the dipeptide molecule plays an integral role in the handedness of the resulting nanorods, as expected.

In addition to room-temperature measurements, variable-temperature CD spectra were acquired for fullerodendrimer II (Figure 6b). Upon heating the assembled molecules to an isotropic state, no significant CD features are observed. However, these features reappear upon cooling of the sample to room temperature. These results indicate that it is not the chirality of the molecule itself that imparts the CD signal but the handedness of the supramolecular assembly. In Figure 6c, we plot the change in CD signal at 289 nm as a function of temperature and overlay the response with that observed during variable-temperature absorbance measurements, finding very good agreement between these two experimental measurements. This result indicates that upon the initiation of self-assembly, near 50 °C, the helical sense of the nanorod is simultaneously specified by the stereochemistry of the dipeptide. Therefore, we anticipate the ability to readily tune the handedness of the nanorods by altering the chirality of this dipeptide, as has been demonstrated in similar systems.25

While the peptide appears to impart a handedness to the assembly, the methanofullerene is likely to play a stabilizing role. In studies employing dendritic dipeptides, it has been shown that the chemical nature of the amino acids26 and peptide protective groups27 can be altered to modulate the stability of the resulting supramolecular complex, as indicated by changes in the melting temperature of the complex in solution ($T_m$). In the case of fullerodendrimer I, a $T_m$ of 43 °C is calculated at a solution concentration of 78 μM, based upon the transition midpoint in the variable-temperature CD spectra. This is 21 °C higher than the $T_m$ of dendritic dipeptides that have employed similar protecting groups at a higher molecular concentration of 120 μM.22 ($T_m$ increases with increasing concentration). This increased value of $T_m$ in the case of the methanofullerene derivative seems to indicate that this additional hydrophobic group has a stabilizing effect on the resulting nanorods, which is consistent with prior observations that hydrophobic amino acids can increase the stability of self-assembled nanoropes.26

In order to examine the electronic properties of these materials, we tested the self-assembled nanorods for electrical conductivity. Freshly prepared nanorod assemblies were deposited by spin-coating onto 6 μm wide Au electrodes, which were fabricated by electron beam lithography (EBL). The electrodes, which we call the source and drain, were separated by less than 100 nm on a substrate of 300 nm SiO2/p-Si to back gate. For nanorod deposition, a 78 μM solution of fullerodendrimer II was first diluted to ~16 μM in cyclohexane, resulting in approximately 30 nanorods bridging the electrodes. The sample was then dried under nitrogen for 24 h to evaporate any retained solvent before electrically contacting with grounded electrodes at room temperature under sub-10−5 mbar vacuum. However, checking for conductivity using a source-drain voltage ranging from 10 mV to 10 V, and a back-gate bias of ±20 V, yielded no measurable current (<0.1 pA) for any of the 20 devices that were examined (see the Supporting Information for device images).

We also tested the electrical conductivity of the nanorods by conductive atomic force microscopy (cAFM). For current imaging, freshly prepared assemblies of fullerodendrimer II were spin-coated onto conductive indium tin oxide (ITO)-coated glass substrates. However, the simultaneous topographic and current images only showed conduction through the ITO substrate, with the fullerene nanorods hindering electrical transport, thereby indicating little to no conductivity in the radial direction (see the Supporting Information for additional details and cAFM images). On the basis of these results, we...
hypothesize that the dendritic portion of the molecule may hinder electrical transport by physically inhibiting interfullerene interactions. Because the dipeptide segment appears to play a prominent role in self-assembly, we anticipate that it may be possible to develop dendron-free derivatives, such that this interference is minimized.

In conclusion, we have synthesized two novel, asymmetric fulleroendrimers and analyzed their self-assembly behavior. Through the introduction of a dipeptide sequence to one terminus of the dendritic methanofullerene, we show that it is possible to change the self-assembly behavior from the formation of poorly ordered aggregates to high-aspect-ratio fullerodendrimers and analyzed their self-assembly behavior. We were unable to observe electrical conductivity under Contract No. DE-AC05-06OR23100. D.O.B. acknowledges funding from the Department of Energy.

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■ ASSOCIATED CONTENT

Supporting Information

Experimental methods, synthetic protocols, X-ray scattering data, and variable-temperature NMR. This material is available free of charge via the Internet at http://pubs.acs.org.

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